Reg. No. : ....

Name : ....

V Semester B.B.A. Degree (CCSS – Reg./Supple./Improv.) Examination, November 2015
BBA – Core Course
(2012 Admn. Onwards)
5B13 BBA : CAPITAL MARKET AND INVESTMENT MANAGEMENT

Time : 3 Hours   Max. Weightage : 30

PART – A

This Part consist of **two** bunches of question carrying **equal** weightage of **one**. **Each** bunch consist of **four** objective type questions. Answer **all** questions.

I. 1) _____ is a speculator who enters into a contract to buy the shares at current prices with the hope of selling them at the future date when the prices rise as per his expectation.

    a) Bear   b) Bull   c) Stag   d) Lame duck

   2) _____ creates liquidity.

    a) Primary market   b) Secondary market

    c) Organised market   d) None of these

   3) Stock exchanges deal with

    a) only debentures   b) only listed securities

    c) exchange of commodities   d) none of these

   4) _____ securities are not required to be listed in stock exchange.

    a) Private   b) Public   c) Government   d) None of these

                                                           (W. = 1)

II. 5) _____ shares are offered to existing share holders.

   6) _____ is the major stock exchange in India.

   7) _____ is another name for new issue market.

   8) The market for long term securities is termed as _____   **(W. = 1)**

PART – B

Answer **any 8** questions is **one** or **two** sentences **each. Each** question carries a weightage of **one**.

9) What is money market ?

10) What is financial system ?

11) What is meant by depository ?

12) What is meant by speculation ?

13) What is a Bonus share ?

14) Who is a bear ?

15) What is call money market ?

16) What is mutual fund ?

17) What is meant by private placement ?

18) What is prospectus ?   **(W. 8×1=8)**

PART – C

Answer **any six** questions. Answer **not** to exceed **one** page. **Each** carries a weightage of **two**.

19) Explain the type of debentures.

20) Explain the functions of primary market.

21) Explain the functions of a merchant banker.

22) Explain the functions of underwriters.

23) Explain the features of stock exchange.

24) Explain the benefits of listing.

25) What are the differences between primary market and stock exchange ?

26) Explain different types of speculators.   **(W. 6×2=12)**

PART – D

Answer **any two**. **Each** question carries a weightage of **four**.

27) Explain the functions of stock exchange.

28) Explain the functions of SEBI.

29) Explain the structure of Indian Financial System.   **(W. 2×4=8)**